

NIS 2 Documentation Toolkit

<https://advisera.com/toolkits/nis-2-documentation-toolkit/>

Note: The documentation should preferably be implemented in the order in which it is listed here.

Doc. code	Document name	Mandatory	Relevant for
Folder 1) Management Support			
01	Project Launch Decision		Whole NIS 2
Folder 2) Project Plan			
01	Project Plan		Whole NIS 2
Folder 3) Initial Training Plan			
01	Initial Training Plan		NIS 2 Article 20, paragraph 2 ISO 27001 clause 7.2 and control A.6.3
Folder 4) Top-level Policy			
01	Policy on Information System Security	✓	NIS 2 Article 21, paragraph 2, point (a) ISO 27001 clause 5.2
Folder 5) Risk Management Methodology			
01	Risk Assessment Methodology	✓	NIS 2 Article 21, paragraph 1 and paragraph 2, point (a) ISO 27001 clause 6.1
Folder 6) Risk Assessment and Treatment			
01	Risk Assessment Table	✓	NIS 2 Article 21, paragraph 1 ISO 27001 clause 6.1
02	Risk Treatment Table	✓	NIS 2 Article 21, paragraph 1 ISO 27001 clause 6.1
03	Acceptance of Residual Risks		NIS 2 Article 21, paragraph 1 ISO 27001 clause 6.1

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04	Risk Assessment and Treatment Report	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 3 ISO 27001 clause 6.1
Folder 7) Risk Treatment Plan			
01	Risk Treatment Plan	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 1 ISO 27001 clauses 6.1 and 6.2
Folder 8) Cybersecurity Policies and Procedures			
01	IT Security Policy	✓	NIS 2 Article 21 paragraph 2 points (b), (c), (g), (h), (i), and (j) ISO 27001 controls A.5.9, A.5.10, A.5.11, A.5.14, A.5.17, A.5.32, A.6.7, A.7.7, A.7.9, A.7.10, A.8.1, A.8.7, A.8.10, A.8.12, A.8.13, A.8.19, and A.8.23
02	Clear Desk and Clear Screen Policy		NIS 2 Article 21, paragraph 2, point (g) ISO 27001 controls A.7.7 and A.8.1
03	Mobile Device and Remote Work Policy		NIS 2 Article 21, paragraph 2, points (g), (h), (i), and (j) ISO 27001 controls A.6.7, A.7.9, and A.8.1
04	Bring Your Own Device (BYOD) Policy		NIS 2 Article 21, paragraph 2, points (g), (i), and (j) ISO 27001 controls A.5.14, A.6.7, and A.8.1
05	Procedures for Working in Secure Areas		NIS 2 Article 21, paragraph 2 ISO 27001 controls A.7.4 and A.7.6
06	Information Classification Policy		NIS 2 Article 21, paragraph 2, point (i) ISO 27001 controls A.5.9, A.5.10, A.5.12, A.5.13, A.5.14, A.7.10, A.8.3, A.8.5, A.8.11, and A.8.12
07	Asset Management Procedure	✓	NIS 2 Article 21, paragraph 2, point (i) ISO 27001 control A.5.9

Doc. code	Document name	Mandatory	Relevant for
08	IT Asset Register	✓	NIS 2 Article 21, paragraph 2, point (i) ISO 27001 control A.5.9
09	Security Procedures for IT Department	✓	NIS 2 Article 21, paragraph 2, points (e) and (i) ISO 27001 controls A.5.7, A.5.14, A.5.37, A.7.10, A.7.14, A.8.4, A.8.6, A.8.7, A.8.8, A.8.9, A.8.10, A.8.12, A.8.13, A.8.15, A.8.16, A.8.17, A.8.18, A.8.20, A.8.21, A.8.22, A.8.23, A.8.31, and A.8.32
10	Change Management Policy		NIS 2 Article 21, paragraph 2, point (e) ISO 27001 control A.8.32
11	Backup Policy	✓	NIS 2 Article 21, paragraph 2, point (c) ISO 27001 control A.8.13
12	Information Transfer Policy	✓	NIS 2 Article 21, paragraph 2, point (j) ISO 27001 control A.5.14
13	Secure Communication Policy	✓	NIS 2 Article 21, paragraph 2, point (j) ISO 27001 controls A.5.14, A.8.20, and A.8.21
14	Disposal and Destruction Policy		NIS 2 Article 21, paragraph 2, point (i) ISO 27001 controls A.7.10, A.7.14, and A.8.10
15	Policy on the Use of Encryption	✓	NIS 2 Article 21, paragraph 2, point (h) ISO 27001 controls A.5.31 and A.8.24
16	Access Control Policy	✓	NIS 2 Article 21, paragraph 2, point (i) ISO 27001 controls A.5.15, A.5.16, A.5.17, A.5.18, A.8.2, A.8.3, A.8.4, A.8.5, and A.8.11
17	Authentication Policy	✓	NIS 2 Article 21, paragraph 2, point (j) ISO 27001 controls A.5.16, A.5.17, and A.5.18
18	Password Policy		NIS 2 Article 21, paragraph 2, point (j) ISO 27001 control A.5.17


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19	Secure Development Policy	✓	NIS 2 Article 21, paragraph 2, point (e) ISO 27001 controls A.5.33, A.8.11, A.8.25, A.8.26, A.8.27, A.8.28, A.8.29, A.8.30, A.8.31, A.8.32, and A.8.33
20	Appendix 1 – Specification of Information System Requirements	✓	NIS 2 Article 21, paragraph 2, point (e) ISO 27001 control A.8.26
21	Security Policy for Human Resources	✓	NIS 2 Article 21, paragraph 2, point (i) ISO 27001 clauses 7.2 and 7.3 and controls A.6.1, A.6.2, A.6.3, A.6.4, A.6.5, and A.6.6
22	Statement of Acceptance of Cybersecurity Documents		NIS 2 Article 21, paragraph 2, point (i) ISO 27001 control A.6.2
Folder 9) Business Continuity and Crisis Management			
01	Business Impact Analysis Methodology		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clauses 8.2.1 and 8.2.2
02	Business Impact Analysis Questionnaire		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clauses 8.2.1 and 8.2.2
03	Business Continuity Strategy		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.5, A.5.29, A.5.30, and A.8.14 ISO 22301 clauses 8.3 and 8.4.2
04	Appendix 1 – Recovery Time Objectives for Activities		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29, A.5.30, and A.8.14 ISO 22301 clause 8.3

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05	Appendix 2 – Examples of Disruptive Incident Scenarios		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clause 8.3
06	Appendix 3 – Preparation Plan for Business Continuity		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29, A.5.30, and A.8.14 ISO 22301 clause 8.3
07	Appendix 4 – Activity Recovery Strategy for (activity name)		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29, A.5.30, and A.8.14 ISO 22301 clause 8.3
08	Crisis Management Plan	✓	NIS 2 Article 21, paragraph 2, point (c) ISO 22301 clause 8.4
09	Business Continuity Plan	✓	NIS 2 Article 21, paragraph 2, point (c) ISO 27001 control A.5.29 ISO 22301 clause 8.4
10	Appendix 1 – Incident Response Plan		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 control A.5.26 ISO 22301 clause 8.4
11	9.11 Appendix 2 – List of Business Continuity Sites		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clause 8.4
12	9.12 Appendix 3 – Transportation Plan		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clause 8.4

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13	Appendix 4 – Key Contacts		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 controls A.5.29 and A.5.30 ISO 22301 clause 8.4
14	Appendix 5 – Disaster Recovery Plan	✓	NIS 2 Article 21 paragraph 2, point (c) ISO 27001 clause 7.4 and controls A.5.29, A.5.30, and A.8.14 ISO 22301 clause 8.4
15	Appendix 6 – Activity Recovery Plan for (activity name)		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 clause 7.4 and controls A.5.29 and A.5.30 ISO 22301 clause 8.4
16	Exercising and Testing Plan		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 control A.5.30 ISO 22301 clause 8.5
17	Appendix 1 – Exercising and Testing Report		NIS 2 Article 21, paragraph 2, point (c) ISO 27001 control A.5.30 ISO 22301 clause 8.5
Folder 10) Supply Chain Security			
01	Supplier Security Policy	✓	NIS 2 Article 21, paragraph 2, point (d) and paragraph 3 ISO 27001 controls A.5.7, A.5.11, A.5.19, A.5.20, A.5.21, A.5.22, A.5.23, A.6.1, A.6.2, A.6.3, and A.8.30
02	Security Clauses for Suppliers and Partners	✓	NIS 2 Article 21, paragraph 2, point (d) and paragraph 3 ISO 27001 controls A.5.11, A.5.20, A.5.22, A.5.23, A.6.2, A.6.3, and A.8.30
03	Confidentiality Statement	✓	NIS 2 Article 21, paragraph 2, point (d) ISO 27001 controls A.5.20 and A.6.2

Doc. code	Document name	Mandatory	Relevant for
Folder 11) Assessment of Cybersecurity Effectiveness			
01	Measurement Methodology	✓	NIS 2 Article 21, paragraph 2, point (f) ISO 27001 clause 9.1
02	Measurement Report	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.1
Folder 12) Incident Management and Reporting			
01	Incident Management Procedure	✓	NIS 2 Article 21, paragraph 2, point (b) and Article 23 ISO 27001 clause 7.4 and controls A.5.7, A.5.24, A.5.25, A.5.26, A.5.27, A.5.28, A.6.4, and A.6.8
02	Incident Log		NIS 2 Article 21, paragraph 2, point (b) ISO 27001 control A.5.27
03	Post Incident Review Form		NIS 2 Article 21, paragraph 2, point (b) ISO 27001 control A.5.27
04	Significant Incident Notification for Recipients of Services	✓	NIS 2 Article 23 ISO 27001 control A.5.26
05	Significant Incident Early Warning	✓	NIS 2 Article 23 ISO 27001 control A.5.26
06	Significant Incident Notification	✓	NIS 2 Article 23 ISO 27001 control A.5.26
07	Significant Incident Intermediate Report	✓	NIS 2 Article 23 ISO 27001 control A.5.26
08	Significant Incident Final Report	✓	NIS 2 Article 23 ISO 27001 control A.5.26
09	Significant Incident Progress Report	✓	NIS 2 Article 23 ISO 27001 control A.5.26

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Folder 13) Cybersecurity Training & Awareness			
01	Training and Awareness Plan	✓	NIS 2 Article 20, paragraph 2 and Article 21, paragraph 2, point (g) ISO 27001 clause 7.2 and control A.6.3
Folder 14) Internal Audit			
01	Internal Audit Procedure	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.2 and controls A.5.35 and A.8.34
02	Annual Internal Audit Program	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.2
03	Internal Audit Report	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.2
04	Internal Audit Checklist	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.2
Folder 15) Management Review			
01	Procedure for Management Review	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.3
02	Management Review Minutes	✓	NIS 2 Article 20, paragraph 1 and Article 21, paragraph 2, point (f) ISO 27001 clause 9.3
Folder 16) Corrective Actions			
01	Procedure for Corrective Actions	✓	NIS 2 Article 21, paragraph 4 ISO 27001 clause 10.1 and control A.5.27

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02	Appendix 1 - Corrective Action Form		NIS 2 Article 21, paragraph 4 ISO 27001 clause 10.1 and control A.5.27